

## United States Patent and Trademark Office

Page 1 of

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Virginia 22313-1450 www.usptu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/824,186

04/14/2004

Thomas Jochen Schwalbe

CELL0034

**CONFIRMATION NO. 5903** 

**FORMALITIES LETTER** 

\*OC00000013070668\*

Date Mailed: 06/25/2004

25268 LAW OFFICES OF RONALD MANDERSON 600 108TH AVE, NE **SUITE 507** BELLEVUE, WA 980/04

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/24/2004 DTESSEM1 00000033 10824186

01 FC:2001 02 FC:2202 03 FC:2201 04 FC:2051 126.00 OP 65.00 GP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 1E. Figure 1E is described in Specification; however, there are two figures 1D are presented in the Drawing.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$212 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$662 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$212
  - \$86 for 2 independent claims over 3.
  - \$126 for 14 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## **CUSTOMER NUMBER 25268**

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Schwalbe et al.

Attorney Docket No: CELL0034

Serial No:

10/824,186

Group Art Unit: 1764

Filed:

April 14, 2004

Examiner:

Title:

SYSTEM AND METHOD FOR DETERMINING OPTIMAL REACTION

PARAMETERS USING CONTINUOUSLY RUNNING PROCESS

## TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/ REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

August 18, 2004

## TO THE COMMISSIONER FOR PATENTS:

#### Transmitted Herewith Are The Following: A.

An executed Combined Declaration and Power of Attorney in the above-identified U.S. 1. patent application.

2. An Assignment of the invention to Cellular Process Chemistry, Inc. is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.

A copy of the Notice to File Missing Parts. <u>X</u> 3.

X 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

	COMPUT	ATION OF FEE		
	Number of Claims Filed	Number of Extra Claims	Rate	Basic Fee \$385
Total Claims	34 - 20 =	14	\$9	126
Independent Claims	5 - 3 =	2	\$43	86
Multiple Dependent Claims			\$145	-0-
Total Filing Fee				\$597
Surcharge Fee Assignment Recording Fee			65	
			_40	
	TC	OTAL FEE		\$ <u>702</u>

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1 2	X 5. Our check No. 1612 in the amount of \$702 to cover the total fee as computed above.				
3	B. Additional Fee Charges or Credit for Overpayment				
4	Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.				
5	A copy of this sheet is enclosed.				
6	Respectfully submitted,				
7	Respectfully submitted,				
8					
9					
10	Michael C. King Registration No. 44,832				
11					
12	I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.				
13	Box 1450, Alexandria, VA 22313-1450, on August 18, 2004.				
14	Date: August 18, 2004  Kattuz Pauri				
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